

## Key Core Questions Robeco/JPM - investor onboarding

Item	Number	Question	Answer
<b>Customer information</b>	1	What is the exact legal name of the investor as it will appear on the Fund Account Application Form?	
	2	What does the entity type of the investor?	e.g.: Pension scheme/ Financial institution/ Listed Company/ Trust / Foundation Charity /Government entity/ Partnership / Open-Ended Fund / Corporate/ Nominee/ Private individual etc....
	3	Has the investor its own legal personality (e.g. corporate, limited liability companies, limited partnership, private company limited by shares) or is it a legal arrangement without a legal personality (trust, treuhand and fideicomiso) ?	Yes No  If No, please confirm the presence of a trust deed and describe legal arrangement set up and its governance/ management structure (individual trustee, collegial management through a Committee, Corporate trustee, Management company etc.)
	4	In which jurisdiction is the investor registered ?	e.g. Country of incorporation/registration
	5	Is the investor regulated for Anti Money Laundering (AML) and CTF (Counter Terrorism Financing) purposes? Has the investor an AML /CTF regulated parent company located in an equivalent jurisdiction? If yes, please indicate name and country of parent company	Yes No  If Yes, please provide Full registration name / legal form / country of incorporation
	6	Is the investor listed on a stock exchange?	Yes No  If Yes, please confirm which stock exchange and if the percentage of free float (ratio between publicly tradable shares and total number of shares) is over 75%
	7	Is the investor investing their own assets (investment on their own behalf) or on behalf of third parties (nominee/intermediary/correspondent banking type relationship)?	Investment on their own behalf Investment on behalf of third parties  *In case of nominee/intermediary/correspondent banking type relationship, further information will be required on investor's underlying including (but not limited to) underlying investors' country(ies) of residence/incorporation and their entity types
	8	Does the investor operate in high-risk business activities such as casino/gambling, cash incentive business, extractive industry, crypto currency, weapon/ defense etc.?	Yes No

<b>Instructions submitted on the account</b>	9	Will only investor's internal authorised signatories submit instructions (signing account opening form, dealing or static data change instructions) to the Fund ?	Yes    No
	10	Is there any other third party (e.g.: a Power of Attorney holder, Corporate Director/Trustee, Management Company of a Fund) to the investor under point 1 submitting instructions on the account being appointed?	Yes    No  If Yes, please provide Full registration name / legal form / country of incorporation
<b>Cash flows</b>	11	Banking instructions (payments in and out) must be in the name of the investor under point 1. Please confirm Banking instructions will be registered in the name of investor.	Yes    No  If No, please provide*: i. Full registration name / legal form / country of incorporation. ii. The relationship between investor and bank mandates beneficiary for in/out payments.
<b>Ultimate Beneficial Owner(s) of this investment</b>	12	Who is/are the ultimate beneficial owner(s) (UBO**) of the investor? (e.g., a detailed ownership structure chart should also be provided)	Full Name, position, and percentage of direct/indirect ownership
<b>Investment information</b>	13	What is the expected level of investment in the Fund?	
	14	What is the nature and expected duration of the business relationship with the Fund?	
	15	Has the investor signed any type of an agreement with the Fund promoter (e.g. Distribution Agreement)?	Yes    No
	16	What is the source of Wealth/Source of funds of the investment?	
	17	What is the expected target date for funding the investor assets?	

\* If presence of a third-party submitting instructions (e.g. Power of Attorney) or banking instructions in the name of an entity different than the investor, please provide responses to questions 1-6 above for these entities as well.

\*\* Individual(s) owning or controlling, directly or indirectly, 25% or more of the investing entity, or controlling through other means. After having exhausted identification of Economic UBO or controller by other means, at least one Senior Managing Official (Local legal representative(s) of the investing entity, such as CEO, Board member or equivalent) should be declared as Ultimate Beneficial Owner.